

**PCT**WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

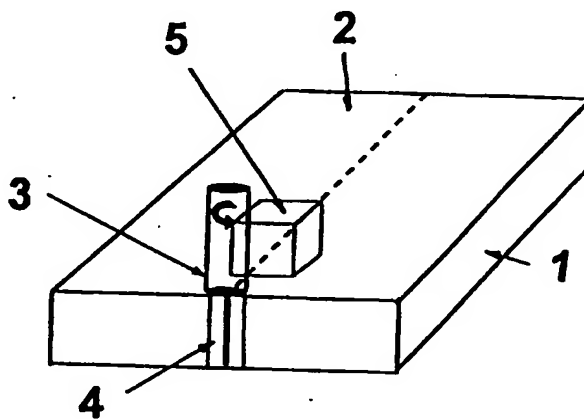
## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6 : <b>B23K 20/12</b>		<b>A1</b>	(11) International Publication Number: <b>WO 99/39861</b>
			(43) International Publication Date: 12 August 1999 (12.08.99)
(21) International Application Number: PCT/NO99/00042		(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).	
(22) International Filing Date: 8 February 1999 (08.02.99)			
(30) Priority Data: 19980542 9 February 1998 (09.02.98) NO			
(71) Applicant (for all designated States except US): NORSK HYDRO ASA [NO/NO]; N-0240 Oslo (NO).			
(72) Inventors; and			
(75) Inventors/Applicants (for US only): MIDLING, Ole, Terje [NO/NO]; Munkhaugv. 32, N-4262 Avaldsnes (NO). KLUKEN, Arnt, Ove [NO/NO]; Elgvegen 36, N-2830 Raufoss (NO). GRONG, Øystein [NO/NO]; Koiefata 6, N-7075 Trondheim (NO).			
(74) Agent: RICANEK, Ivan; Norsk Hydro ASA, N-0240 Oslo (NO).			
		<b>Published</b> With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.	

(54) Title: MODIFIED FRICTION STIR WELDING

## (57) Abstract

A modified method of friction stir welding of members applying a non-consumable rotating probe further comprises a preheating of the assembled members prior to the welding operation. A welding apparatus is also disclosed provided with a primary heat source attached to the probe, preferentially a high frequency moving induction coil.



separate

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

## **"Modified friction stir welding"**

The present invention relates to friction stir welding and more particularly to a modified method and an apparatus improving the welding process parameters.

Friction stir welding is a new friction welding process. The principles of the process and applied apparatus as disclosed by WO 93/10935 are based on a relative cyclic movement between a non-consumable probe of a harder material than the workpieces to be joined and the workpieces. Urging the rotating probe into the assembled adjacent workpieces along their joining line creates a plasticised region in the workpieces due to a generated frictional heat. Thus no heat is generated as in conventional friction stir welding due to a relative motion between the workpieces to be joined. This new welding method, having the advantage of solid state bonding, has been successfully implemented on providing plate and profile joints not previously feasible.

However, some drawbacks in terms of productivity and joint quality have been experienced due to the fact that the design of presently applied rotating welding tools (probe) is a compromise between two different demands/functions of the tool:

- (pre)heating of the material to a certain minimum temperature in front of the tool, and
- the mechanical stirring (commingling) of plasticised material from each side of the joint.

It is therefore an object of the present invention to provide a modified and improved method of friction stir welding resulting in increased productivity maintaining high joint quality for a wide range of materials, e.g. for high strength Al-alloys.

Another object of the present invention is to provide a novel apparatus adapted to a high speed friction stir welding.

These and other objects of the invention are met by provision of the modified friction stir welding method and a novel welding apparatus as defined in the attached patent claims 1 and 5, respectively.

Other objects, specific features and advantages of the present invention will become apparent from the following detailed description of the preferred embodiments of the invention with reference to the accompanying drawings, Figs. 1-2, where

Fig. 1 illustrates schematically the basic principle of the improved friction stir welding,

Fig. 2 is a picture of a weld seam achieved by a preferred embodiment of the welding apparatus according to the present invention.

The friction stir welding as described in WO 93/10935 is incorporated herein by reference to the extent consistent with the present invention.

A detailed description of the present invention embodied by way of examples by novel apparatuses usable in the modified friction stir welding follows below.

Referring to Fig. 1 two plate members 1,2 are shown schematically being welded together by means of a rotating probe comprising a non-consumable pin.

According to the present invention, in order to facilitate formation of the welded seam 4, a primary heat source 5 is attached to the welding apparatus in front of the rotating probe in the friction welding tool 3.

According to the preferred embodiment of the apparatus according to the invention a moving induction coil is applied as the primary heat source 5 to provide controlled heating of a limited volume of the welded material beneath the tool shoulder to plasticise the material. Thus the main function of the rotating pin is to control the flow pattern of the preheated material and to break up oxide skin introduced from the welded members.

This separation of (pre)heating and mechanical stirring of the plasticised material results in a dramatical increase in the welding travel speed and thus a higher productivity maintaining high quality welds.

Furthermore, the use of a primary heat source allows for melting of eventually added filler material if deemed appropriate for the actual welding.

### **Example**

Stir welding trials according to the invention were performed on 5 mm thick plates extruded in AA6082.50-T5 Al-alloy applying a mobile induction heating system operating within a power range of from 20 to 70 kW as the primary heat source. The preheating temperature imposed on the welded members by an induction coil located 20 mm in front of the welding tool was in a range of 420°C to 460°C, corresponding to the conventional preheating temperature of billets in an extrusion process. The applied welding travel speed was increased (doubled) to 2 m/min. As it appears from Figure 2 showing a picture of welds received in the trial

while friction stir welding without preheating at this speed results in not satisfactory weld seam quality, area A in the picture, the induction preheating provided a good quality smooth welds (area B). None of the other parameters have been changed during the trial.

The achieved mechanical properties (strength, elongation) are similar to the properties of "conventional" friction stir welds provided by lower welding speeds, or at similar speeds but on smaller thicknesses of the welded plates (3 mm).

Furthermore, apart from doubling the welding travel speed the actual force applied on the welding tool (friction stir energy input) is reduced by approximately 50%.

Thus, compared to conventional friction stir or high frequency induction welding of aluminium alloys, the modified friction stir welding process has the following advantages:

- Higher productivity because of the increased welding speed.
- Reduced problems with surface cracks, oxide contamination and other weld defects due to better temperature control and improved tool design with respect to material flow. This makes the process more reliable in terms of weld quality.
- Reduced problems with heat affected zone (HAZ) softening owing to the use of a more concentrated heat source in combination with extensive plastic deformation of the parent material. Post weld heat treatment may therefore be avoided.
- Reduced problems with distortions and residual stresses because of a low heat input per unit length of the weld. This, in turn, may eliminate the need for heavy clamping systems and increase the fatigue strength of the joint.

- Improved flexibility because of the reduced weight of the welding equipment. The development of a light and portable system makes the modified friction stir welding process suitable for automatic welding of aluminium extrusions.
- Possibility of adding filler material where needed during processing.

Thus the induction heating, being a non-contact heating method, gives a better control of the material to be heated within a well defined area. Furthermore, thanks to the fact that the heat source is cooler than the heated material, the temperature regulation is both very rapid and accurate.

However, for a satisfactory/efficient heating it is necessary to have strict control of the current path in the joined-to-be members. The generated current has to be separated on each side of the joint area between the members in order to avoid the current from flowing across the split/contact line of the adjacent pre-assembled members.

In order to achieve their goal an induction coil ensuring the same current direction on each side of the split provides controlled return of the "outside" current stream(s). This current distribution in the adjacent joined-to-be members further prevents formation of sparks in the split area (safety aspects and avoiding of surface damage) and formation of non-beneficial magnetic fields.

Apart from the above disclosed and discussed induction preheating other primary heat sources could be applied in the modified friction stir welding according to the present invention. Thus laser means are suitable as the primary heat source, e.g. Nd-YAG laser could advantageously be applied in friction stir welding of Al-alloys or any other conventional heat sources ensuring a controlled preheating of members.

Claims

1. A method of friction stir welding of members comprising steps of urging and securing the members together, entering such assembled members along their joining line by a probe under rotating movement of the probe causing a flow of locally plasticised material from the adjacent assembled members both perpendicularly and vertically to the longitudinal extension of the assembled members and finally solidification of the plasticised material behind the probe,  
characterised in that  
the adjacent members are preheated along their joining line close to the plasticising temperature of the members by means of a primary heat source located in front of the probe.
2. Method according to claim 1,  
characterised in that  
the adjacent assembled members are preheated applying a moving induction coil as the primary heat source to plasticise material of the adjacent members.
3. Method according to claim 2,  
characterised in that  
high frequency induction heating is applied to weld Al-alloy members.
4. Method according to claim 1,  
characterised in that  
the adjacent assembled members are preheated by means of laser as the primary heat source.



5. Apparatus for friction stir welding of members comprising a non-consumable probe (3),  
characterised in that  
the apparatus is further provided with a primary heat source (5) located in front of the probe.
6. Apparatus according to claim 5,  
characterised in that  
the primary heat source (5) is a high frequency moving induction coil ensuring a controlled current distribution pattern in the joined-to-be members .

1/1

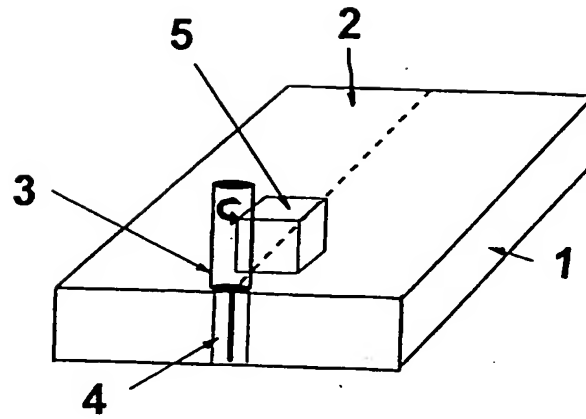


Fig. 1

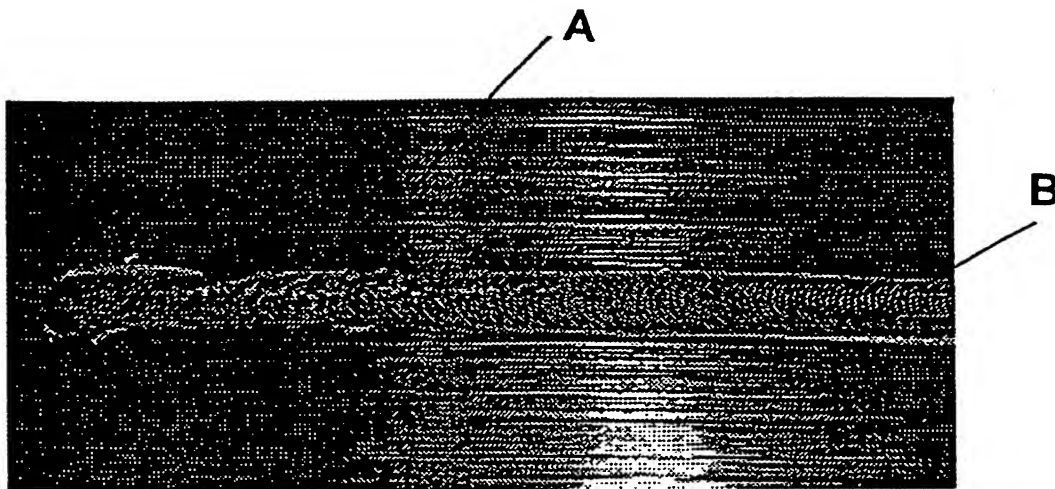


Fig. 2

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/NO 99/00042

## A. CLASSIFICATION OF SUBJECT MATTER

IPC6: B23K 20/12

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC6: B23K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

WPI, EDOC

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 4144110 A (JANE LUC), 13 March 1979 (13.03.79), column 2, line 45 - column 3, line 44, figures 1-14  --	1
X	US 3779446 A (JEROME H. LEMELSON), 18 December 1973 (18.12.73), column 6, line 37 - column 7, line 25; column 9, line 44 - line 56, figures 5,6  --	1
P,X	WO 9845080 A1 (ESAB AB), 15 October 1998 (15.10.98), page 6, line 10 - page 6, line 27, claim 1  --	1-3

☒ Further documents are listed in the continuation of Box C.☒ See patent family annex.

\* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&amp;" document member of the same patent family

Date of the actual completion of the international search

30 April 1999

Date of mailing of the international search report

06-06-1999

Name and mailing address of the ISA/

Swedish Patent Office

Box 5055, S-102 42 STOCKHOLM

Facsimile No. +46 8 666 02 86

Authorized officer

Ulf Nyström

Telephone No. +46 8 782 25 00

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/NO 99/00042

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P,X	Derwent's abstract, No 98-514328/44, week 9844, ABSTRACT OF JP, 10225781 (SHOWA ALUMINUM CORP), 25 August 1998 (25.08.98)  --	1,4
A	WO 9310935 A1 (THOMAS, WAYNE, MORRIS ET AL), 10 June 1993 (10.06.93), page 10, line 13 - line 20, abstract  -- -----	1

# INTERNATIONAL SEARCH REPORT

Information on patent family members

07/04/99

International application No.

PCT/NO 99/00042

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 4144110 A	13/03/79	AT 304060 A,B DE 1571045 A,C DE 2102020 A FR 1584952 A FR 2128169 A,B NL 7103140 A US 3831262 A SE 338850 B	15/11/72 22/10/70 21/09/72 09/01/70 20/10/72 12/09/72 27/08/74 20/09/71
US 3779446 A	18/12/73	NONE	
WO 9845080 A1	15/10/98	AU 6862098 A SE 9701265 D	30/10/98 00/00/00
WO 9310935 A1	10/06/93	AU 658950 B AU 662310 B AU 1016495 A AU 2952892 A CA 2123097 A,C DE 69205991 D,T EP 0615480 A,B SE 0615480 T3 EP 0653265 A JP 2712838 B JP 7505090 T US 5460317 A,B	04/05/95 31/08/95 30/03/95 28/06/93 10/06/93 11/04/96 21/09/94  17/05/95 16/02/98 08/06/95 24/10/95